IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Patent Examiner:

Keiji Tokita et al.

Group Art Unit:

Serial No.: 10/550,921

CUSTOMER NO. 21611

Filed: September 28, 2005

July 18, 2006

DISK LOADING MECHANISM

DISK DRIVE, DISK FEEDING DEVICE, AND

Costa Mesa, California 92626

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS **UNDER 35 USC 371**

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 USC 371 mailed 05/19/2006, enclosed is the executed oath for filing in the above-identified case. Also, attached is a copy of the 371 Formalities Letter.

Please charge the surcharge of \$130.00 for late filing of this oath to our deposit account No. 19-2814.

If there are any questions with regard to this matter, please do not hesitate to contact the undersigned attorney.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450,

Alexandria, VA 22313-1450 on July 18, 2006 by

Candy Neu

Date of Signature: July 18, 2006 ·

07/27/2006 MKAYPAGH 00000138 192814 10550921

Tel: 714-427-7420 Fax: 714-427-7799

Very truly yours,

SNELL & WILMER LL

Joseph W. Price, Reg. No. 25,124 600 Anton Boulevard, Suite 1400 Costa Mesa, CA 92626-7689

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130.00 DA



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/550,921 Keiji Tokita 42598-3700

INTERNATIONAL APPLICATION NO.

21611 SNELL & WILMER LLP 600 ANTON BOULEVARD SUITE 1400 COSTA MESA, CA 92626 INTERNATIONAL APPLICATION NO.

PCT/JP04/04679

I.A. FILING DATE PRIORITY DATE

03/31/2004 03/31/2003

Date Mailed: 05/19/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/28/2005
- English Translation of the IA filed on 09/28/2005
- Copy of the International Search Report filed on 09/28/2005
- Copy of IPE Report filed on 09/28/2005
- Copy of Annexes to the IPER filed on 09/28/2005
- English Translation of Annexes to the IPE filed on 09/28/2005
- Information Disclosure Statements filed on 12/27/2005
- Oath or Declaration filed on 09/28/2005
- U.S. Basic National Fees filed on 09/28/2005
- Priority Documents filed on 09/28/2005
- Specification filed on 09/28/2005
- Claims filed on 09/28/2005
- Abstracts filed on 09/28/2005
- Drawings filed on 09/28/2005



SNELL & WILMER

ACTION Oak 4 Fee

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

Additionally the following defects have been observed:

Annexes have not been entered because the IPER annexes are not a page for page substitution.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/550,921	PCT/JP04/04679	42598-3700

FORM PCT/DO/EO/905 (371 Formalities Notice)